

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/52442	Date: May 27, 2022
Circular Ref. No: 37/2021	

To All Trading Members,

Sub: Reminder for Submission of Risk Based Supervision Data for the FY 2021-22

This has reference to Exchange circular reference no. NSE/INSP/51970 dated April 08, 2022 requiring all the members to submit the information / data towards the Risk Based Supervision for the period April 01, 2021 – March 31, 2022 to the Exchange on or before May 31, 2022.

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed even a single trade during the aforementioned period). Submission is to be made electronically through the Inspection module in the Member Portal on or before due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/51970 dated April 08, 2022.

Members are advised to take note of the above and comply.

For and on behalf of

National Stock Exchange of India Limited

Mansi Chheda

Senior Manager – Inspection

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID
Ahmedabad (ARO)	inspectionahm@nse.co.in
Chennai (CRO)	inspection_cro@nse.co.in
Delhi (DRO)	delhi_inspection@nse.co.in
Kolkata (KRO)	inspection_kolkata@nse.co.in
Mumbai (WRO)	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in