

**National Stock Exchange Of India Limited****Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/46823

Date: December 29, 2020

Circular Ref. No: 07/2020

To All Members and Market Participants,

Sub: Submission of client wise funds and securities balances on weekly basis and discontinuation of submission of client wise fund and securities balance on monthly basis

This is with reference to our circulars NSE/ISC/2017/35268 dated July 3, 2017, NSE/ISC/2017/36418 dated November 30, 2017, NSE/ISC/2017/36567 dated December 22, 2017, NSE/ISC/36817 dated January 24, 2018, NSE/ISC/39309 dated October 31, 2018 and NSE/ISC/41759 dated July 31, 2019 regarding upload of funds and securities balances of client as on the last trading day of the month within 7 calendar days.

Exchange has earlier prescribed the 'Reporting of Client Level Cash & Cash Equivalent Balances' vide circular NSE/INSP/44478 dated May 27, 2020 and 'Submission of Holding Statement' vide circular NSE/INSP/41711 dated July 25, 2019 wherein data is required to be uploaded on weekly basis to the Exchange. Further, Exchange introduced changes in the existing format of said submissions in order to enable the members to upload uniform reports to Exchange and the same was communicated to all the members through circular NSE/INSP/46704 dated December 17, 2020.

In view of the same, the balances as reported by the members on weekly basis of the Cash and Cash equivalent and Holding statement will be disseminated to the clients as on Saturday of every week via SMS/Emails on as is basis from the week ending January 16, 2021 and thereafter.

Hence, Exchange has decided to discontinue the submission of client funds and securities balances by the members on monthly basis. The members are not required to submit the client funds and securities balances as on the last trading day of the month of January 2021 in the month of February 2021 and thereafter.

However, Trading members are required to submit the client funds & securities balance as on December 31, 2020 (i.e. last trading day of December 2020) within the 7 calendar days of the subsequent month.

For any clarifications, members may contact the Investor Services Cell of the Exchange

**For and on behalf of  
National Stock Exchange of India Limited**

**Dinaz Shroff  
Chief Manager**

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