

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE/SURV/49245

Date: August 10, 2021

Circular Ref. No: 556/2021

To All NSE Members

Sub: List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/49025 dated February 23, 2017, September 13, 2019, November 29, 2019 and July 23, 2021, respectively, members are hereby requested to note that the following securities shall be moved to Stage IV of GSM with effect from August 11, 2021.

Sr. No.	Symbol	Security Name	ISIN
1	MUKANDENGG	Mukand Engineers Limited	INE022B01014
2	ROHITFERRO	Rohit Ferro-Tech Limited	INE248H01012
3	SATHAISPAT	Sathavahana Ispat Limited	INE176C01016
4	SHAHALLOYS	Shah Alloys Limited	INE640C01011
5	SPYL	Shekhawati Poly-Yarn Limited	INE268L01020

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday/1st trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed.

Please refer Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on +91-22-2659 8129 / 8166 or +91-44-6630 9949 / 9993.

For National Stock Exchange of India Limited

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Annexure:**Stage wise Surveillance actions as per GSM framework**

Stage	Surveillance Actions
I	Applicable margin rate shall be 100% and price band of 5% or lower as applicable.
II	Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.
III	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 st trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.
IV	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 st trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

For more information on Graded Surveillance Measure please refer to our Frequently Asked Questions (FAQs) - <https://www.nseindia.com/regulations/graded-surveillance-measure>