

## National Stock Exchange of India

### Circular

Department: SURVEILLANCE	
<b>Download Ref No:</b> NSE/SURV/50185	<b>Date:</b> November 02, 2021
<b>Circular Ref. No:</b> 735/2021	

To All NSE Members

#### **Sub: List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)**

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790, and NSE/SURV/49553 dated February 23, 2017, September 13, 2019, November 29, 2019 and September 09, 2021 respectively, members are hereby requested to note that the following securities shall be moved to Stage IV of GSM with effect from November 03, 2021.

Sr. No.	Symbol	Security Name	ISIN
1	SATHAISPAT	Sathavahana Ispat Limited	INE176C01016

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday/1<sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed.

Please refer Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on +91-22-2659 8129 / 8166 or +91-44-6630 9949 / 9993.

## National Stock Exchange of India

For National Stock Exchange of India Limited

**Binoy Yohannan**  
**Chief Manager**  
**Surveillance**

Telephone No	Email id
+91-22-26598129 / 26598166	<a href="mailto:surveillance@nse.co.in">surveillance@nse.co.in</a>

### Annexure:

#### Stage wise Surveillance actions as per GSM framework

Stage	Surveillance Actions
I	Applicable margin rate shall be 100% and price band of 5% or lower as applicable.
II	Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.
III	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.
IV	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

For more information on Graded Surveillance Measure please refer to our Frequently Asked Questions (FAQs) - <https://www.nseindia.com/regulations/graded-surveillance-measure>